

University of Alaska Anchorage Assumption of Liability Form

TO: UAA Grants & Contracts Proposal Number _____

DATE:

FROM:

SUBJECT: Request for Restricted Fund Set-up: Assumption of Liability

Based on:

- Preliminary conversations with the funding agency's program manager as documented in attached email,
- Unofficial notification from the funding agency's program manager by the attached email,
- Other information (please specify and attach available documentation: _____),

I anticipate that the proposed project listed will be awarded and request a UAA restricted fund project number to facilitate the performance of the project. I understand that pre-award expenditures must meet the same guidelines of allowability, allocability, and reasonableness as described by OMB Circular A-21.

Principal Investigator/Project Director: _____

Sponsoring Agency: _____

Project Title: _____

If funding is not received, or if the sponsor disallows some expenditures, the Department and Dean's office identified below will assume responsibility for such expenditures.

Total amount of anticipated award (8003 entry) _____

Pre-award Start[†] and End Dates _____

Total amount[†] to set up on Assumption of Liability _____

Department Unrestricted Org Code _____

School/College Org Code _____

The Principal Investigator, Chair and Dean signatures below also certify that **no one**, including graduate or undergraduate student(s) or technical staff, will perform any work on the project until all employment requirements are completed for the individual (e.g., Form I-9, Job Form, etc). Failure to comply with University hiring practices, and federal and state labor laws exposes the University to fines and penalties.

➤ _____
Principal Investigator (PI) Signature/Date

➤ _____
Director/Department Chair Signature/Date

PI Printed Name

Dir/Dept Chair Printed Name

➤ _____
Dean Signature/Date

➤ _____
Fiscal Officer Signature/Date

Dean's Printed Name

Fiscal Officer Printed Name

Grants and Contracts Use Only:

Approved By and Date

Reviewer Comments

* For pre-award (federal) accounts, the start date must be within 90 days of the awarded project start date; for other agreements, this should be the anticipated contract start date.

[†]Assumption budget will be created in object code 8003.