



Strategic Opportunity Fund

Call for Applications, 2007

Chancellor Maimon and the Planning and Budget Advisory Council (PBAC) are pleased to announce the opening of a competitive application process for resources to be distributed through UAA's new Strategic Opportunities Fund.

The Strategic Opportunities Fund was established by Chancellor Maimon at the recommendation of the PBAC. The Fund, which now stands at \$500,000, was created through reallocation from administrative units on the Anchorage campus during the FY06 allocation process.

Consistent with the manner and purposes for which this Fund was established, the competition is open to the Anchorage campus only. Successful proposals will focus on **strategic investments** in **high priority areas** and on **new opportunities** that will improve UAA's **competitive advantage**.

Proposals may be offered by individuals, academic or administrative units, or teams of individuals and units. Proposals crossing disciplinary lines and promoting collaboration and partnerships are particularly encouraged.

High Priority Areas

- **Enhancing Student Success**
- **UAA as a Public Square (See Chancellor's website for more detail)**
- **Building or Promoting Programmatic or Service Excellence**

Selection Process & Criteria

Process:

Initial review will be completed by PBAC's Performance Based Budgeting Subcommittee. Award recommendations will be made by the full PBAC and submitted to the Chancellor for final approval.

Criteria:

- Strength of connection to high priority area
- Strategic value
- Feasibility
- Clarity of proposal
- Innovation
- Impact, as measured by the number of students/public served
- Sustainability
- Leveraging of external funding
- Congruence with Interim Strategic Guidance
- Strength of outcomes evaluation

Multi-year Projects:

Applications for multi-year funding of projects for up to **three years** may be submitted. Additional, more stringent criteria will be used to evaluate such applications.

How to Apply

- Complete the Application.
- Attach a short (1-3 page) summary of your proposed project.
- Return both documents to the PBAC in care of Renee Carter-Chapman, Chair, PBB Committee, UC 131R (pbb@uaa.alaska.edu).
- If the application is for a **continuing project**, a current status report must be attached.

Application Deadline: March 1, 2007

- Applications may be submitted starting immediately.
- A review of early applications may begin prior to March 1.
- Awards will be announced on April 23, 2007, with funds made available for use beginning July 1.
- Follow-up status reports will be due November 1, 2007 and March 1, 2008, with a final report due August 1, 2008.
- Unless otherwise specified and approved, all funds must be expended by June 30, 2008.

**University of Alaska Anchorage
Centrally-Managed Funds
FY08**

The centrally-managed funds are monitored by the Budget Office and are used to cover expenditures not attributable to a particular major budget unit and/or allocations of a one-time nature. The revenue source for these expenditures is the 20% administrative share of tuition and prior year carryforward.

FY08 PBB Award	880,000
20% tuition share	7,800,000
FY07 carryforward	<u>2,900,000</u>
Total	<u>11,580,000</u>

Annual Commitments:

Dependent/spouse/adjunct waivers	823,800
Bad debt*	300,000
Videoconferencing*	200,000
Student academic waivers*	2,000,000
Strategic opportunity fund	500,000
Chancellor research awards	200,000
2% reserve requirement	<u>2,700,000</u>
	<u>6,723,800</u>

One-time Commitments (FY08 to date):

HPER office renovation	13,030
Additional strategic opportunity fund award - climate change faculty workshop	9,000
FY08 PBAC one-time distributions	528,000
VOIP emergency broadcast implementation	148,400
ULB/HR space reconfigurations	150,000
CTC aircraft**	<u>128,335</u>
	<u>976,765</u>

Balance available*	<u><u>3,879,435</u></u>
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Other commitments (not yet distributed)

Campus Moves	2,500,000
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*Estimate only.

**"Loan" to CTC for aircraft purchase prior to December 31, 2007. CTC will repay the central fund over the next five years.

Note: Tuition revenue is estimate based on tuition rate increase only (no enrollment increase) from FY07.

**University of Alaska Anchorage
Performance-Base Distribution
FY08**

	Anch	KPC	KOC	MSC	PWS	Total
Initial Contribution	(574,300)	(35,800)	(35,800)	(35,800)	(35,800)	(717,500)
One-time Distribution	137,600	8,600	8,600	8,600	8,600	172,000
Permanent Distribution	742,400	46,400	46,400	46,400	46,400	928,000
Net Gain/(Loss)	305,700	19,200	19,200	19,200	19,200	382,500

Discussion Draft
 University of Alaska
 FY10 Panning Budget Process
 December 12, 2007

The proposed process and guidelines are in response to the Chancellors' suggestions for improvements in UA's operating budget request process. Chancellors asked for involvement in establishing priorities and early and explicit direction on the priority initiatives anticipated to be included in the budget request recommendations for Board of Regents approval and eventual consideration by the Governor and Legislature. To that end, this provides a list of priority initiative/ disciplines areas and a list of considerations for discussion:

Priority Initiative / Discipline Areas:

The areas below are current accepted priorities that address key Board of Regents strategic plan goals. Additionally, these are directly aligned with state needs and key economic priorities. Keep in mind, this list, although narrow relative to the wide variety of functions at UA, is extremely long for key budget priorities and may be more appropriately view as areas for systemwide planning from which some key budget requests will emerge.

<ul style="list-style-type: none"> ● Instructional and Student Related Initiatives <ul style="list-style-type: none"> ○ Health ○ Engineering ○ Fisheries ○ Teacher Education ○ Student Success ○ Career and Technical Workforce Development Programs¹ ○ Distance Education for High Demand Programs 	<ul style="list-style-type: none"> ● Research Initiatives <ul style="list-style-type: none"> ○ Climate Change ○ Energy ○ Engineering and Transportation ○ Biomedical ● Outreach and Service Initiatives <ul style="list-style-type: none"> ○ K-12 Outreach ○ Cooperative Extension Service ● Administrative Initiatives <ul style="list-style-type: none"> ○ Risk Management ○ Automation Projects ○ IT
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Considerations:

- To the extent possible existing groups will be utilized for developing and vetting budget request proposal against established criteria.
- There needs to be a balance between requests coming through system planning groups and request emerging strictly from the campus process.
- There are more systemwide priorities listed than will reasonably be addressed in a focused budget request.
- Calendar and Timelines: BOR approval of guidelines in February (verses June). Planning groups meet March-July, MAU's submit budget request August 1.
- To what extent should the guidelines include a total funding level? To what extent should the guidelines specify a funding level by MAU and campus?
- Demonstrating efficiencies, reallocation to priorities, and high accountability standards will be key in the guidelines.
- To what degree should the planning groups be formalized to encompass budget verses incorporating budget request evaluation within the current tasks.
- Will a statewide person lead each group?
- Should there be a chancellor responsible for each area?

¹ Career and Technical Workforce Development would encompass aviation

PBAC Research Investment Committee

In AY 06-07, UAA's Council on Scientific Research examined the current state of research activity and productivity, internal research investments, and the disposition of F&A income ("Indirect Costs"). The Council formally recommended to Vice Provost Douglas Causey that he reconfigure the current system of F&A recovery, using the results of analysis undertaken by CSR, UA Statewide, and the Vice Provost's office.

The results of this analysis will be completed shortly. In some cases, reallocation of F&A income to traditional recipients will be significantly changed. Additionally, more F&A income will be made available to UAA researchers by competitive process and through "pass-through" investments to facilitate the research enterprise.

I recently formed an executive Research Cabinet, which will serve to advise and plan strategically the course taken to build UAA's research posture. It is not intended that this group have fiduciary oversight or authority; instead, it will act to chart the direction and strategies that will be taken to meet our objectives.

Research at UAA can be facilitated through several direct and indirect means. Research investments designed to provide better facilities and opportunities will come from a mix of appropriated and non-appropriated funds. I anticipate that most of these resources will be derived from F&A income and, therefore, appropriately a component of the PBAC process.

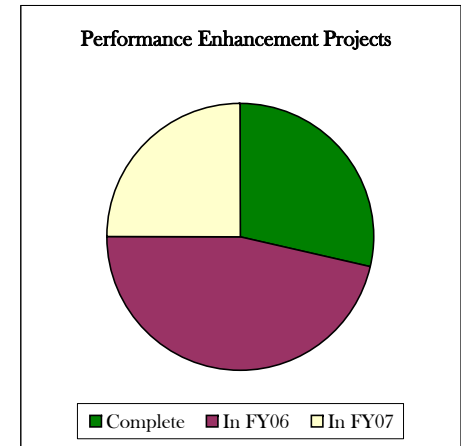
I request that a PBAC Research Investment Committee be formed, comprising several members of PBAC, the Research Cabinet, and me with a charge to provide guidance and fiduciary insight on disposition of funds derived from F&A and other sources. The PBAC Research Investment Committee will report to PBAC and recommend courses of action to the PBAC for possible forwarding to the Chancellor's Cabinet. I further request that this committee be formed as soon as possible, in order that we can begin work on implementing change.

Respectfully,

Douglas Causey
Vice Provost for Research

PLANNING AND BUDGET ADVISORY COUNCIL
FY2004-05 Performance Enhancement Funds
Application for Project Funding

				Planning & Budget Council Recommendations	
School/College	Author	Project Title	This phase of Bonus Funds	Total Spent to Date	
I. PROPOSALS APPROVED FOR FUNDING FROM \$500,000 POOL:					
1	CAS	Kenrick Mock and Hilary Davies Computer Science Laboratory Tutors	\$10,000	\$2,592	
2	Governance/CAS	Debbie Narang and Patricia Grega Supplemental Instruction Program	\$50,000	\$11,195	
3	Student Affairs	Linda Lazzell and Kathleen Graves Preventative Mental Health Services for Alaska Native and American Indian Students	\$15,000	\$10,803	
4	CHSW	Kathy Graves Creation of Urban Family Enhances Success, Interconnectedness and Sense of Belonging Among Alaska Native Freshman	\$13,000	\$7,922	
5	Kodiak College	Barbara Brown Paired Academic and Study Skills Courses	\$7,000	\$6,476	
6	Engineering / Civil	Zhaohui (Joey) Yang Securing Funding for Research Project. <i>Strong-Motion Instrumentation and Seismic Study of the Port Access Bridge in Anchorage, Alaska: Stage II</i>	\$5,000	\$4,500	
7	Student Affairs	Collaborative project among various Student Affairs units UAA Peer Mentoring/Advising Program	\$35,000	\$22,152	
8	CTC / Eagle River	Dennis Clark Ted Stevens International Anchorage Airport (TSIA) FY05 Class Offerings	\$3,800	\$3,800	
11	CAS	James Liszka and Tom Buller Developing an Ethics Consultation Group for UAA	\$18,000	\$8,000	
12	CBPP / ISER	Sharmar Hale New Social Science Research Initiatives	\$11,300	\$11,300	
13	CBPP	Hermann Gruenwald Information Security (IS) Programs	\$25,000	\$7,872	
15	CAS	Jeffrey Welker The UAA Boreal Forest Environmental Observatory (BFEO):	\$50,000	\$37,359	
16	CAS	Maury Riner Lab Works: Computer-Assisted Laboratories in Anchorage High Schools	\$5,000	\$0	
18	Kodiak College	John Durham Kodiak College Increase in Associate of Applied Science, Accounting Students	\$6,100	\$3,800	
19	CBPP	Yong Cao and Edward Forrest University of Alaska Anchorage and University of Hong Kong Alaska-China Business Development Summer Seminar and Symposium	\$10,000	\$150	
20	CTC / AET	Ellen McKay, Jeff Callahan, and Brian Bennett Increase in the Architectural Engineering and Technology Capacity	\$14,000	\$14,094	
25	COE	Letitia Fickel and Patricia Chesbro Air Force to Academia	\$40,000	\$13,032	
26	KPC	Barbara Christian 500-Level Weekend Recertification Courses in Content Areas / 1 Credit Courses	\$6,600	\$4,990	
27	Vice Provost	Marian Mitchell Freshman Convocation	\$25,000	\$27,069	
30	KPC	Barbara Christian Short Courses in Arts and Sciences Content Areas	\$6,600	\$0	
33	Consortium Library	Daria Carle Foundation Center	\$9,500	\$7,175	
34	CAS	Phyllis Fast UAA Alaska Native Student Summer Art Camp	\$25,000	\$22,488	
37	CHSW	Sally Mead UAA Health Distance Education Partnership	\$45,000	\$26,201	
40	KPC	Barbara Christian Weekend Recertification Courses in Content Areas	\$13,200	\$12,664	
42	KPC	Liz Downing Professional Student Services Marketing Materials at KPC Campuses	\$10,900	\$1,249	
50	Consortium Library	Kathleen Murray Purchasing Library Services: Healthcare Providers and Anchorage Businesses	\$15,000	\$15,062	
51	Mat-Su College	Paul Dauphinais Hybrid Program Development	\$25,000	\$7,732	
TOTAL			\$500,000	\$289,678	



FY08 Strategic Opportunities Funds

Project Title	Amount Requested	Recommended Amount	Accepted?
Civic Engagement Certificate	\$ 35,850	\$ 35,850.00	4.11.2007
Developing UAA's Climate Commitment Plan	\$ 49,687	\$ 49,687.00	4.9.2007
Pre-College Academic Enrichment for Rural Alaska- Phase II	\$ 75,000	\$ 75,000.00	
A Proposal to Increase Student Success While Enhancing Workforce Development through the Conversion of Three Required BHS Courses into a Distance Delivery Format	\$ 17,000	\$ 17,000.00	
English for Specific Purposes: Aviation English Program Development	\$ 13,409	\$ 13,409.00	4.9.2007
Degree Audit and Advising Planning	\$ 112,000	\$ 112,000.00	4.10.2007
Engaging Controversy Faculty Development Intensive 2008	\$ 25,000	\$ 25,000.00	4.9.2007
Integrating Issues of Climate Change into UAA's Curriculum	\$ 24,000	\$ 24,000.00	4.9.2007
Creating a Framework for Diversity Outcomes at UAA	\$ 14,119	\$ 14,119.00	4.9.2007
UAA/APU Book of the Year 2007-08	\$ 9,107	\$ 9,107.00	4.9.2007
Developing a Diversity Team at UAA (NCBI)	\$ 44,465	\$ 44,465.00	
Supplemental Instruction Program	\$ 115,602	\$ 80,363.00	4.20.2007
	\$ 535,239.00	\$ 500,000.00	
Strong Teachers to Build a Strong Economy	\$ 17,276	\$ -	
Bonner Leader Program and New Service House	\$ 47,000	\$ -	
Color of Justice	\$ 10,000	\$ -	
The Cabin Fever Debates Intramural Debating Tournament	\$ 34,200	\$ -	
Health Distance Education Partnership	\$ 25,000	\$ -	
UAA Podcast Website Project	\$ 20,000	\$ -	
Diverse Voices of Anchorage: Students Learning from Communities II	\$ 10,000	\$ -	
Best Practices in the Classroom Seminar Series	\$ 7,100	\$ -	
National Youth Sports Program	\$ 35,000	\$ -	
Transition to Teaching- Secondary Mathematics Teachers	\$ 60,000	\$ -	
Community Forum on Disproportionate Minority Contact	\$ 5,450	\$ -	
Support of Arctic Human Health Initiative (AHHI) and International Polar Year (IPY)	\$ 75,000	\$ -	
Plagiarism Detection Service	\$ 43,000	\$ -	
Undergraduate Engineering Fellows Internship Program	\$ 75,000	\$ -	
Alaska Native Oratory Society Competition	\$ 46,400	\$ -	
Colleague-to-Colleague for Teaching Excellence	\$ 15,900	\$ -	
A Network Security Lab to Enhance Information Security Training of Students and the Community	\$ 18,256	\$ -	
UAA Wind Ensemble Performance Project	\$ 11,000	\$ -	
Leadership Challenges in Public Health: Seminar Series and Community Outreach	\$ 25,000	\$ -	
Western Consortium for Rural Social Work Education Curriculum Development Project	\$ 16,000	\$ -	
Private Music Instruction Project	\$ 4,000	\$ -	
Assistive Technology Training	\$ 2,500	\$ -	
An Internship Program for Cultural Heritage Studies	\$ 15,000		
Matching Funds for Paleo-Eskimo Beginnings in North America: Dating the Denbigh Flint Complex in Western Alaska	\$ 14,500		
Improvement of the Zooarchaeological Collection, housed at the Department of Anthropology	\$ 17,724		
Transforming Early Childhood Environments for UAA Students	\$ 15,000	\$ -	
Development of a Forensic Drug Testing Laboratory	\$ 48,000	\$ -	
Psychology for the New Face of Anchorage: Diversity Recruitment and Retention Program	\$ 108,040	\$ -	
UAA Undergraduate Behavioral Science Research Journal	\$ 3,867	\$ -	

Project Title	Amount Requested	Recommended Amount	Accepted?
New Faculty Orientation	\$ 15,650	\$ -	
Health Research Think Tank (HeaRTT) Distinguished Lecture Series	\$ 36,600	\$ -	
CAFÉ Faculty Associate for Diversity	\$ 6,520	\$ -	
Meeting the State's Need for Engineers: Development of a Systemic Model for Enhancing Engineering and Science Education at UAA	\$ 30,000	\$ -	
Process Oriented Guided Inquiry in University Chemistry and in College Preparatory High School Chemistry: An Integrated Approach to Study Student Retention and Achievement in a Diverse Student Population	\$ 40,000	\$ -	
Best Practices in Undergraduate Research: Mentoring Manual, Seminar Series and an Online Resource	\$ 12,900	\$ -	
College of Education Tracking System	\$ 30,000	\$ -	
East Asia Summer Study Abroad	\$ 42,821	\$ -	
Grand Total	\$ 1,574,943	\$ 500,000	