



Taxable Status Determination Form

Research Subject Payments

This form is used to determine whether an individual is subject to 1099-MISC or possible 1042-S reporting requirements for the current calendar year. If the individual is subject to 1099-MISC reporting requirements, this form acts as a substitute IRS form W-9.

1099-MISC is used when the University issues payments to an individual, which have a cumulative value of \$600 or more, for rents, medical/healthcare, and other taxable income. Royalties of any value over \$10 need to be reported.

1042-S: All research subject payments require tax withholding and 1042-S reporting.

Sponsoring Department Use Only

Research Project Name (if not confidential): _____

Date of Payment: _____ Cash Value of Payment: _____

Description of Payment: _____

Sponsoring Dept: _____ Contact Phone: _____

Contact Name: _____ Contact E-mail: _____

Recipient to Complete This Section

Legal Name: _____ UA ID# (if applicable): _____

Mailing Address: _____ Social Security Number (Tax Identification Number): _____

E-mail Address: _____ Phone Number: _____

Are you a U.S. citizen or permanent resident? Yes No, you will be emailed to complete a profile in our tax compliance software.

Signature: _____ Date: _____

Certification (for US citizens and permanent residents): Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number; and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. Citizen or other U.S. person
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.*

*Line 4 does not apply.

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

Signature: _____ Date: _____