



# UNIVERSITY of ALASKA ANCHORAGE

## Certification Regarding the Release of Controlled Technology or Technical Data to Foreign Persons in the United States

### Instructions

The Certification Regarding the Release of Controlled Technology or Technical Data to Foreign Persons in the United States (Release of Tech) is required to be completed by a UAA Department when a foreign national is visiting or being employed by UAA. If you have any additional questions or concerns, please contact the UAA Office of Research and Graduate Studies (ORGS) at 907-786-1196 or [uaa\\_rgs@uaa.alaska.edu](mailto:uaa_rgs@uaa.alaska.edu).

### When is the Release of Tech form completed?

This form is to be completed when UAA is employing a foreign national, or when it is inviting, financing, or sponsoring a foreign visitor to UAA. Visitors include speakers, applicants, and other guests. This form also must be completed for foreign students who will be participating in or having access to research.

### Who completes the Release of Tech form?

This form is completed by the UAA Department/Office that is hiring or inviting the foreign national to UAA.

### When to complete the Release of Tech form?

This form should be completed prior to the hiring of or visit by a foreign national.

### Where to submit the form?

Please submit your completed and signed form via email to [uaa\\_rgs@uaa.alaska.edu](mailto:uaa_rgs@uaa.alaska.edu) to be reviewed and approved by the Vice Provost for Research.

If you have any questions about any of these items, please contact the ORGS staff at 907-786-1196.



# UNIVERSITY of ALASKA ANCHORAGE

## CERTIFICATION REGARDING THE RELEASE OF CONTROLLED TECHNOLOGY OR TECHNICAL DATA TO FOREIGN PERSONS IN THE UNITED STATES

Prior to filing of any H-1B temporary worker petition or having a foreign national visit UAA, this certification must be completed and signed by the lead investigator/supervisor and department administrator. This information is necessary to assure compliance with federal regulations.

**PLEASE NOTE:**

- (1) This form requests information regarding the research/projects, if any, the employee or visitor will be participating in or have access to, and related equipment and materials to be used by the employee or visitor, and the employee's Visa application cannot be approved without this information;
- (2) You must notify UAA's Vice Provost for Research and Graduate Studies (VPRGS) if the funding source or work assignment of the employee changes at any time following submission of this form.

FOREIGN VISITOR/EMPLOYEE		
First Name	Family Name/Surname	Department
Position or Title at UAA		Country of Citizenship / Permanent Residency
LEAD INVESTIGATOR/SUPERVISOR		
First Name	Family Name/Surname	Phone / Email
Position or Title at UAA		
DEPARTMENT ADMINISTRATOR		
First Name	Family Name/Surname	Phone

**PLEASE ANSWER THE FOLLOWING QUESTIONS. ATTACH EXTRA PAGES AS NECESSARY.**

1. **Please provide a complete description of the visitor's/employee's job duties and responsibilities on the included attachment.**
2. Will the employee or visitor be involved in research? .....  Yes  No  
**If "Yes," provide description of research projects on included attachment. If "No", skip to Question #6**
3. What is/are the source(s) of funds supporting the research?  
 University of Alaska Anchorage (UAA)  Government  Industry  Other (describe)
4. Will the employee or visitor be involved in projects or collaborations that –
  - a. Restrict participation in the project based on country of origin or citizenship? .....  Yes  No
  - b. Allow only US citizens to participate? .....  Yes  No
  - c. Grant the sponsor the right to review publications in advance of submission? .....  Yes  No  
**If "Yes", provide exact language or copy of provision on a separate page.**
  - d. Limit publication or dissemination of the research results in any manner?.....  Yes  No  
**If "Yes", provide exact language or copy of provision on a separate page.**

- e. Provide that any part of the sponsoring, granting, or resulting documents not be disclosed?.....  Yes  No
- f. Limit access to proprietary or export-controlled technology or data –
  - i. Provided by a sponsor or research collaborator?.....  Yes  No
  - ii. Previously developed by UAA?.....  Yes  No
  - iii. In UAA's possession?.....  Yes  No
  - iv. Produced as part of the project or collaboration?.....  Yes  No

***If "Yes" to any part of 4(f) above, please answer the following. Otherwise, skip to Question 4(j).***

- g. Is the information clearly identified as proprietary or export-controlled?.....  Yes  No
- h. Can the information be appropriately protected?.....  Yes  No
- i. Can controlled information be used in isolated tasks so that the employee or visitor can participate in or have access to part of the research that is not controlled?.....  Yes  No

***If the answer to (g), (h), or (i) is "No," please contact University Counsel.***

- j. Involve research providing access to source code for encrypted software (other than publicly available software distributed at no charge)?.....  Yes  No
  - k. Involve research, information or software that could be used for military applications?.....  Yes  No
  - l. Involve research, information or software that could be used in development of weapons of mass destruction (nuclear, biological, chemical) or their delivery systems?.....  Yes  No
5. For research funded solely by UAA, does the university intend to withhold the research results for proprietary reasons and not share broadly in the scientific community?.....  Yes  No

**6. Please provide a list of any scientific equipment, including highly specialized computing equipment, that the employee or visitor will use in the course of performing his/her duties in the included attachment.**

- 7. Will the employee or visitor have access to information regarding how to install, maintain, repair, refurbish **and** overhaul a particular piece of equipment?.....  Yes  No
- 8. Will the employee or visitor be exposed to equipment that was specifically designed or developed for military or outer space applications?.....  Yes  No

***If "Yes," please describe***

\_\_\_\_\_  
**Signature of Lead Investigator/Supervisor** \_\_\_\_\_  
**Date**

\_\_\_\_\_  
**Signature of Department Supervisor** \_\_\_\_\_  
**Date**

***Please submit form to the UAA Office of Research and Graduate Studies by email at [uaa\\_rgs@alaska.edu](mailto:uaa_rgs@alaska.edu) for review by the Vice Provost for Research.***

